

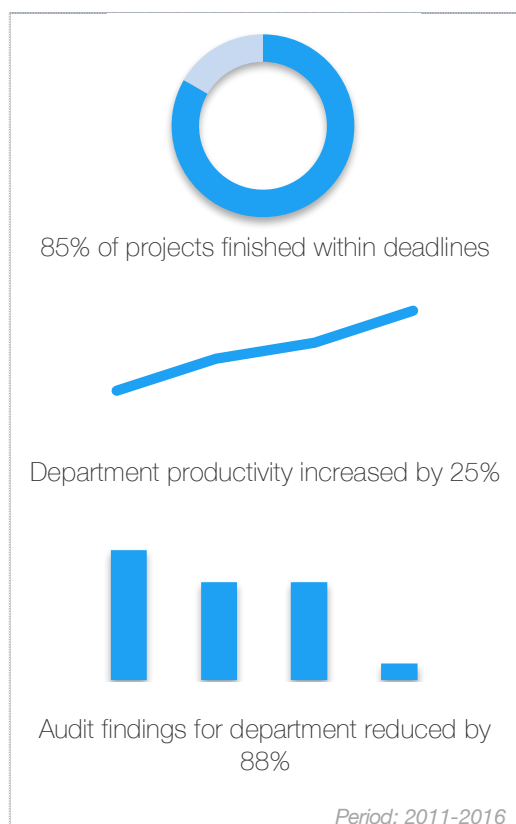
# Jean-Baptiste Bres

## HEAD OF INFORMATION AND BUSINESS CONTINUITY

**Motivated and experienced** Senior Information Security and Business Continuity Manager with a successful 12-year track record in Information Security, Business Continuity, Risk Management and Project Management within financial institutions. Quality and client focused. Diplomatic and tactful with professionals and non-professionals at all levels. Demonstrated history of management, team excellence and cost reduction.

**Flexible and versatile** – able to coordinate and lead in high pressure situations. Poised and competent with demonstrated ability to easily transcend cultural differences. Talent for easily adapting to new environments and eager to learn about new businesses and activities.

## RESULTS IN NUMBERS



## CONTACT DETAILS

 <http://goo.gl/LA4Rez>

 <http://www.jbbres.com>

 <http://au.linkedin.com/in/jbbres>

## EMPLOYMENT

### HEAD OF INFORMATION SECURITY AND BUSINESS CONTINUITY | StatePlus (formerly State Super Financial Services)

Sydney (Australia), 2015 – Present

#### About StatePlus

StatePlus (formerly State Super Financial Services) is an integrated financial planning company providing advice, service and implementation solutions.

Today, StatePlus is one of Australia's leading financial planning companies with over 57,000 clients and \$16 billion in funds under advice.

#### Responsibilities

- Managing team of 6
- **Information Security:** responsible for all aspect of information security, including governance, implementation, awareness, and testing
- **Business Continuity:** Business Continuity Manager, responsible for the Business Continuity Framework, Disaster Recovery solutions and crisis management.
- **Governance:** definition and implementation of new policies, standards, guideline and procedure for Information Security, Business Continuity and Disaster Recovery.
- **Reporting:** in charge of reporting (monthly KPIs) to Executive Committee and to the board of regular progress and status of all Information Security topics.
- **Risk:** responsible for risk definition within all IT areas, risk rating and controls to ensure respect of the company risk appetite.
- **Information Security:** responsible for identity and access management, data protection network protection and platforms protection.
- **Business Continuity:** overview of Business Impact Analysis and Business Continuity Plans reviews and maintenance.
- **Business Continuity:** test manager, in charge of planning, test plans, coordination and reporting.
- **Business Continuity:** management of the business and IT disaster recovery site.
- **Regulatory:** analysis, review and action plan implementation in order to align our Information Security and Business Continuity Framework to regulatory requirements and Industry Standard (ISO 27001, PCI DSS, APRA PPG 234, PPG 232, Australian Privacy Act).
- **Information Security Committee:** Secretary of the Information Security Committee: agenda definition, meeting leading and minutes.
- **Business Continuity Committee:** Secretary of the Business Continuity and Disaster Recovery Committee: agenda definition, meeting leading and minutes.
- **Information Security Incident Management Response Team:** Leader of the Information Security Incident Management Response Team

- **Crisis Management Team:** Lead member of the Crisis Management Team
- **Incidents and breaches:** Information Security incidents quality manager and repository manager.
- **Permanent Controls:** in charge of the controls repository and framework for IT.
- **Permanent Controls:** 2nd line of defense for IT: performing a set of controls to ensure that 1st level of controls are adequately executed.

#### *Achievements*

- **Information Security:** design and implementation of a AUD 1.2 million program to increase information security. Program was achieved on time (1 year), with a 5% cost saving on budget, and resulted in shifting the risk profile of information security from high to low, as per company risk appetite.
- **Future Operating Model (FOM):** definition of security requirements and full review of implementation, including white box penetration testing, of the StatePlus AUD 65 millions FOM program. The project (and its security) covers all aspect of the company activities, from a secure website for customer transactions to advisory services, registry and secure payments.
- **Awareness:** definition and implementation of a new information security awareness program “user centric” that resulted in a notable reduction of security incidents.
- **Controls and KPIs:** definition and implementation of a set of regular controls to monitor and measure security capabilities
- **Projects:** Definition of a project security framework to ensure high level security is integrated within all projects.
- **Security Operation Center:** instigation of a Security Operation Center (SOC) to monitor security 24/7
- **Identity and Access Management:** definition and implementation of an identity and access management framework, with definition of business profiles and associated system accesses, onboarding and off boarding process, 3rd parties access management, exception management process, and implementation in Microsoft Forefront Identity Management (FIM).
- **Data Loss Protection:** definition and implementation of a data classification policy, and analysis for implementation of DLP solutions.
- **Network:** redesign of all networks to comply with advance security network design. Implementation, management and monitoring of next-gen firewalls, IPS and WAF.
- **Cloud:** definition and implementation of advance security solutions for Cloud (Azure, AWS, Office 365) and on premise environments
- **Business Continuity and Disaster Recovery Plan:** definition of a business continuity and disaster recovery program. Business Impact Analysis, capabilities analysis, definition of scenarios, remediation and tests, improved awareness, better KPI

### *About BNP Paribas Australia*

BNP Paribas is a European-based bank and financial services company, the third-largest bank in the world. BNP Paribas provides its clients access to the world through one of the largest international banking networks, and delivers specialist solutions.

In Australia and New-Zealand, BNP Paribas employs over 600 people with a presence in Sydney, Melbourne, Perth and Wellington, New Zealand.

### *Responsibilities*

- Managing team of 4
- **Governance:** definition and implementation of new workflows and processes for operational teams.
- **Governance:** implementation of regional / group directives in compliance with local restrictions and regulations.
- **Governance:** procedures and policies quality manager and repository manager.
- **Process Improvement:** in charge of defining and implementation projects, such as new methodologies, new systems, new reporting solutions, KPI, in order to increase efficiency and reduce costs.
- **Outsourcing:** in charge of outsourcing approval workflow and full risk assessment of all new proposed outsourcing.
- **Operational Risk:** in charge of the methodology and overview of the Operational Risk Framework.
- **Incidents and breaches:** incidents quality manager and repository manager.
- **Customers' complains:** complains quality manager.
- **Permanent Controls:** in charge of the controls repository and framework.
- **Permanent Controls:** 2<sup>nd</sup> line of defense: performing a set of controls to ensure that 1<sup>st</sup> level of controls are adequately executed.
- **Permanent Controls:** chair and reporter of the country Internal Control Committee: agenda definition, meeting leading and minutes.
- **Audit:** In charge of audit repository and follow-up.
- **Business Continuity:** Business Continuity Manager, responsible for the Business Continuity Framework, Disaster Recovery solutions and crisis management.
- **Business Continuity:** overview of Business Impact Analysis and Business Continuity Plans reviews and maintenance.
- **Business Continuity:** management of the business and IT disaster recovery site.
- **Business Continuity:** test manager, in charge of planning, test plans, coordination and reporting.

### *Achievements*

- **Process Improvement:** definition and implementation of a "Project Management" methodology for the team, with the objective to increase quality of the deliveries, reduce paperwork and administrative work, and create clear and transparent reporting (KPI) to the top management.
- **Process Improvement:** implementation of a new Supply Chain Finance system for front-end (for customers) and back-end (for middle and back-office, resulting in a gain of productivity for the operational team of 0.4 FTE (5%).
- **Process Improvement:** definition and implementation of a STP payment processing solution for Supply Chain Financing, resulting in a gain of productivity for the operational team of 1 FTE (20%).
- **Process Improvement:** deployment for various customers of a front-end integrated solution for their supply chain financing and trade financing, resulting in new contracts for the bank.
- **Process Improvement:** definition and implementation of a full review of the GST rebate solution, including review of the calculation methodology, workflow and reporting.
- **Process Improvement:** deployment of a semi-STP account payable system (Basware), with increased follow-up capabilities and better visibility on progress and status.
- **Process Improvement:** migration of the "Know Your Customer" (KYC) system to a new automated solution, with increased follow-up capabilities and better visibility on progress and status.

- **Innovation:** Founding member of the BNP Paribas Australia Innovation Committee, in charge of the development and promotion of an innovation culture within the bank.
- **Outsourcing:** successful implementation of multiple outsourcings, including system access jurisdiction and controls, resulting in a cost reduction for my team of over \$100 000 per year.
- **Operational Risk:** full redefinition of the operational risk framework, and implementation of a 1-year plan to consolidate and review all risks for the bank and identify and review controls in place.
- **Permanent Control:** creation and management of a 2<sup>nd</sup> level of control team, performing a set of controls to ensure that 1<sup>st</sup> level of controls are adequately executed.
- **Permanent Control:** creation and management of a monthly CEO KPI report covering updates and status on permanent control.
- **Crisis Management:** management of the Sydney Siege crisis (December 2014): full building evacuation (500 staff), relocation and restoration of activity from the disaster recovery site within 2 hours, coordination of crisis management team (top management), staff accounting, coordination with authorities and building management.
- **Business Continuity:** definition and full implementation of a 1½-year quality improvement plan. Increase of the number of scenarios and tests, improved awareness, better KPIs, while reducing cost of management by 10%.
- **Business Continuity:** definition and implementation of a “failure of critical supplier” scenario. This scenario and the contingencies / controls defined to cover it were then duplicated in all Asia Pacific entities of BNP Paribas.
- **Business Continuity:** definition and implementation of a “large area disaster” scenario, with definition and test of contingency solutions that include inter-state and cross-country activity/staff relocation.
- **Regulatory:** analysis, review and action plan implementation in order to align our Operational Risk Framework to regulatory requirements (APRA CPS 220).
- **Regulatory:** analysis, review and action plan implementation in order to align our Business Continuity Planning Framework to regulatory requirements (such as APRA CPS 232, RBA).
- **Regulatory:** analysis, review and action plan definition in order to align our Data Risk Framework to regulatory requirements (APRA CPG 235).
- **Regulatory:** analysis, review and action plan implementation in order to align Outsourcing Framework to regulatory requirements (APRA CPS 231).
- **IT Security:** implementation of a new secure “smartpass” system for building access and system logins.

## GOVERNANCE & PROCESS IMPROVEMENT PROJECT MANAGER | BNP Paribas CIB

Sydney (Australia), 2009 - 2011

### *About BNP Paribas Australia*

BNP Paribas is a European-based bank and financial services company, the world's third-largest bank by total assets. BNP Paribas provides its clients access to the world through one of the largest international banking networks, and delivers specialist solutions.

In Australia and New-Zealand, BNP Paribas employs over 600 people with a presence in Sydney, Melbourne, Perth and Wellington, New Zealand.

### *Responsibilities*

- **Governance:** definition and implementation of new workflows and processes for operational and business teams.
- **Governance:** implementation of regional / group directives in compliance with local limitations and regulations.
- **Governance:** definition of test plans and coordination of UATs for new systems and systems updates.
- **Governance:** procedures and policies quality manager and repository manager.
- **Process Improvement:** in charge of defining and implementing projects to increase efficiency and reduce costs.
- **Project Management:** definition and implementation of country-scale projects, such as new methodologies, new systems, new reporting solutions, and KPI.
- **Business Continuity:** Business Continuity deputy, assisting in the maintenance of the Business Continuity Framework, Disaster Recovery solutions and Crisis Management.
- **Business Continuity:** assisting in the Business Impact Analysis and Business Continuity Plans reviews and maintenance.
- **Business Continuity:** assisting in the maintenance of the business and IT disaster recovery site.
- **Business Continuity:** test manager, in charge of planning, test plans, coordination and reporting.
- **System Access:** backup of the system administrator of local applications.

### *Achievements*

- **Process Improvement:** implementation of a new Trade Finance back-end, resulting of a gain of productivity for the operational team of 0.4 FTE (5%).
- **Process Improvement:** definition and implementation of various regulatory reporting, including liquidity reporting to RBA and Basel 3 reporting.
- **Process Improvement:** definition and implementation of a new asset management policy, with increased follow-up capabilities and better visibility on progress and status.
- **Process Improvement:** definition, development and implementation of a STP solution for middle-office to book loans in the accounting system based on information provided in Excel Spreadsheet by the team, resulting of a gain of productivity for the operational team of 0.3 FTE (4%).
- **Process Improvement:** definition, development and implementation of an automated reconciliation solution to compare system accesses and data in the access management system and identify gaps, resulting in a gain of my team productivity of 0.1 FTE and better quality and follow-up.
- **Business Continuity:** re-definition and implementation of a "staff unavailable" scenario, including work from home solutions.
- **Management:** overtook the management of the team in April 2011.

## PROJECT MANAGER | Société Générale CIB | Consultant

Paris (France), 2007 - 2008

### *About Société Générale*

Société Générale is a French multinational banking and financial services company headquartered in Paris. It is France's third largest bank by total assets and the number 8 bank in Europe.

Société Générale Corporate & Investment Banking (CIB) plays a key role as an intermediary between issuers and investors in three major activities: investment banking, financing and market activities.

#### *Responsibilities*

- Consultant in 2007 - Permanent employee in 2008.
- **Project management:** In charge of the implementation of the new European trade finance middle and back-end system project (Alltra). This included restoring dialogue between business and IT teams, definition and implementation of strong test plans in order to increase quality of the product, redefinition of workflows for operational teams and definition and reporting of clear KPI to follow-up gains for the bank.
- **Process Improvement:** in charge of defining and implementing projects to increase efficiency and reduce costs.
- **Support:** Alltra post-project support for business teams.

#### *Achievements*

- **Project management:** Moved the trade finance system project from a delayed, low quality with loss of productivity (-5%) status when I joined to a highly reliable system with a 10% gain of productivity for the operational team.
- **Project management:** pre-Analysis for Alltra deployment in Société Générale New-York (project cancelled).
- **Communication:** Implementation of a better communication channel between IT and business team, by acting as an intermediary and translating business needs in IT specifications and vice-versa.
- **Process improvement:** definition and implementation of a common front-office / middle-office / back-office referencing system for customers' conditions, resulting in increased follow-up capabilities and better visibility on progress and status.

## **BUSINESS ANALYST TRADE FINANCE & GUARANTEES | BNP Paribas CIB | Consultant**

*Paris (France), 2005 - 2006*

#### *About BNP Paribas*

BNP Paribas is a European-based bank and financial services company, the world's third-largest bank by total assets. BNP Paribas provides its clients access to the world through one of the largest international banking networks, and delivers specialist solutions.

#### *Responsibilities*

- **Project management:** definition and implementation of standard accounting scheme for Trade Finance system iVision.
- **Support:** iVision level 2 support for European back-offices, in English, French and Spanish.
- **User Acceptance Test:** in charge of definition and execution of functional UATs for new release of iVision.

#### *Achievements*

- **Project management:** implementation of standard accounting scheme in Brussels back-office and Amsterdam back-office.
- **System development:** definition, development and implementation of tools to automate and facilitate support (Java, Oracle).
- **System development:** definition, development and implementation of a tool to automate the migration of existing Trade Finance deals to the new accounting scheme (Java, Oracle).

## **RECONCILIATION IT SUPPORT | BNP Paribas CIB | Consultant**

*Paris (France), 2004*

#### *Responsibilities*

- **Support:** accounting reconciliation system intellimatch - level 1 support for European back-offices, in English, French and Spanish.

## EDUCATION

### SYDNEY EMERGENCY MANAGEMENT INDUSTRY ROUND TABLE (EMIRT) | Member

Sydney (Australia)

### BUSINESS CONTINUITY INSTITUTE (BCI) | Affiliate member

London (United Kingdom)

### MASTER IN COMPUTER SCIENCE | UTBM

Belfort Montbeliard (France), 2004

- Specialty in **Software Development** and **Artificial Intelligence**
- Minor in **Business Administration**

### COMPUTER AND INFORMATION SCIENCE (IDA) | LiU

Linköping (Sweden), 2004












- Focus on **Human-Computer Interfaces**

### UNIVERSITY DEGREE IN COMPUTER SCIENCE (DUT) | IUT Aix en Provence

Aix en Provence (France), 2001

- Specialty in **Software Development**

## SKILLS

- |                                                                                                                        |                                                                                                              |                                                                                                          |
|------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
|  Team leader                        |  Problem solving oriented |  Advanced reporting |
|  Finance Industry                   |  IT background            |  Cloud Computing    |
|  Project management (6σ, cmmi, ace) |  Flexible and versatile   |  Advanced MS Office |
|  Excellent communication            |  Excellence driven        |                                                                                                          |

## LANGUAGES

- |                                                                                                      |                                                                                                     |                                                                                                              |
|------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
|  English - Fluent |  French - Native |  Spanish - Intermediate |
|------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|

## REFERENCES

References available on request